

Fee Schedule

*The fees listed below may be applied to any Snowden Account Services LLC (SAS) brokerage accounts or Snowden Capital Advisors LLC (SCA) advisory accounts. This list is exclusive of brokerage commissions that may be charged for trades in brokerage accounts, and advisory fees documented in your SCA Advisory Agreement. Certain fees may not apply to your account.

Item	Fee	Frequency
Domestic Retail Account Servicing and Maintenance	\$100.00	Annually
Non-Resident Client Retail Account Servicing and Maintenance	\$100.00	Annually
Corestone Feature Related Fees		
Silver Account	\$25.00	Annually
Silver Plus Account	\$50.00	Annually
Gold Account	\$100.00	Annually
Gold Account Corporate	\$150.00	Annually
Platinum Account	\$150.00	Annually
Platinum Account Corporate	\$250.00	Annually
Proprietary Low Balance Fee	\$2.00	Monthly
Business Initial Check. Order	\$50.00	Per Transaction
Business Style Re Order Checkbooks	\$40.00	Per Transaction
Carbon Copy Check Reorder	\$25.00	Per Transaction
Cash Advance Transaction Fee (non-atm)	0.25%	Per Transaction
Copy Retrieval Resource Chkg and Procash Plus	\$2.50	Per Transaction
Gold Check Reorder	\$10.00	Per Transaction
Initial Reorder Of Pcp Carbon Copy Check Rq	\$15.00	Per Transaction
Overnight Mailing	\$20.00	Per Transaction
Platinum Check Reorder	\$7.50	Per Transaction
Re Order Checkbooks	\$10.00	Per Transaction
Reorder Business Style Check Binder	\$20.00	Per Transaction
Resource Checking Stop Payment	\$25.00	Per Transaction
Returned Checks	\$25.00	Per Transaction
Silver Check Reorder	\$12.50	Per Transaction
Stop Payment	\$25.00	Per Transaction
Asset Movement Fees		
Certified Check Delivery	\$12.00	Per Transaction
Disbursement Error - Insufficient Funds	\$20.00	Per Transaction
Insufficient Funds - Banking And Funds	\$20.00	Per Transaction
Loan Processing	\$50.00	Per Transaction

Payment Stop Error	\$10.00	Per Transaction
Stop Check Fee	\$10.00	Per Transaction
Uncollected Fund Error	\$20.00	Per Transaction
Void Check Error	\$20.00	Per Transaction
Overnight Check Delivery	\$12.00	Per Transaction
Overnight Check Foreign Delivery	\$25.00	Per Transaction
Overnight Check Saturday Delivery	\$18.00	Per Transaction
ACH Return Change	\$20.00	Per Transaction
Wire Fees	\$20.00	Per Transaction
Outgoing Account Transfers	\$100.00	Per Transaction
Margin Extension	\$10.00	Per Transaction
Securities Related Fees		
General		
Confirm Paper Surcharge	\$2.50	Per Transaction
Register and Ship	\$60.00	Per Transaction
Bonds		
Bond Call Notification	\$1.00	Per Transaction
GNMA Bond Transfer	\$135.00	Per Transaction
Physical Redemptions	\$10.00	Per Transaction
Mutual Funds		
Ntf Below Minimum Trade	\$20.00	Per Transaction
Ntf Short Term Redemptions	\$50.00	Per Transaction
Ntf Srs Below Minimum Trade	\$6.00	Per Transaction
Load and No Load Surcharge	\$10.00	Per Transaction
Dividend Reinvestment	\$1.00	Per Transaction
Alternative Investments		
Registered Alt Investment Annual Fee	\$35.00	Annually
Registered Alt Investment Transfer Fee	\$35.00	Per Transaction
Unregistered Alt Investment Annual Fee	\$125.00	Annually
Unregistered Alt Investment Transfer Fee	\$125.00	Per Transaction
Alternative Investment Transaction Fee	\$50.00	Per Transaction
Foreign Receive and Deliver Fees		
Agent Back Fee - Local Market Delivery	\$75.00	Per Transaction
Agent Bank Fee - Euroclear Free Delivery	\$15.00	Per Transaction
Agent Bank Fee - Local Market Receive	\$75.00	Per Transaction
Agent Bank Fees- Euroclear Free Receives	\$15.00	Per Transaction
Clearance Fee ACATs	\$75.00	Per Transaction
Euro Clear ACATs	\$15.00	Per Transaction

Miscellaneous		
Voluntary Reorganizations/Conversions/Elections/Exchanges/Exercises	\$50.00	Per Transaction
Foreign Safekeeping	\$10.00	Per Transaction
Legal Transfer	\$135.00	Per Transaction
Limited Partnership Processing	\$50.00	Per Transaction
Precious Metal Storage	75.00 Bps	Per Transaction
Employee Stock Option -Cost Payment	\$50.00	Per Transaction
Employee Stock Options Fee	\$3.00	Per Transaction
Employee Stock Purchase	\$3.00	Per Transaction
Restricted Stock Filing Premium Services	\$50.00	Per Transaction
Retirement and Education Savings Accounts Fee Schedule		
ANNUAL MAINTENANCE FEES (PER ACCOUNT)		
Traditional IRAs, Roth IRAs, SEP IRAs, and Education Savings Accounts	\$60	Annually
Traditional IRAs, Roth IRAs and SEP IRAs – Mutual Fund Only	\$12	Annually
Prototype Simplified Employee Pensions (Prototype SEPs and SARSEPs)	\$60	Annually
Prototype SEPs and SARSEPs – Mutual Fund Only	\$12	Annually
SIMPLE Plans	\$60	Annually
SIMPLE Plans – Mutual Fund Only	\$12	Annually
Qualified Retirement Plans (QRP) and 403(b)(7) Custodial Accounts	\$75 or \$125 ¹ depending on plan document type	Annually
MUTUAL FUND ONLY TRANSFERS		
Traditional IRAs, Roth IRAs, SEP IRAs, Prototype SEP IRAs and SIMPLE IRAs to a Mutual Fund Only	\$50	Annually
QRP AND 403(B)(7) LOANS		
Distribution and Repayment Posting (due with loan documentation)	\$50	One time
ACCOUNT TERMINATION FEE		
All Retirement Accounts	\$95	Per Account
LIMITED PARTNERSHIPS, PRIVATE PLACEMENTS, AND OTHER SPECIAL PRODUCTS		
Subscription Fee	\$50	Per Transaction
Redemption Fee	\$50	Per Position
Reregistration Fee	\$50	Per Position
Annual Administration Fee	\$35	Per Registered Position

Annual Administration Fee	\$125	Per Unregistered Position
Document Review Fee to Determine Ability to Custody	\$300	Per Review
IRS 990-T UBTI Tax Return Filing	\$200	Per Return
COMMISSIONS		
Commission charges are determined by the advisor on a per transaction basis.		

*All commissions, fees and rates are subject to change without notice. Fees assessed by third parties for processing transactions may be passed through to your account. Some products and special services may also require additional fees or transaction minimums not specifically listed here. It is the client's responsibility to ask the broker if any additional fees will be applied prior to placing the trade.

¹ Pershing Individual(k), Simplified 401(k), Simplified Profit Sharing and Simplified Money Purchase plan document type: \$75.00
Pershing Flexible 401(k), Flexible Profit Sharing and Flexible Money Purchase plan document type: \$125.